

JC07 Rec'd PCT/PTO 04 APR 2005

10/530198

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| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. § 371 | | Attorney's Docket Number 040894-7204 |
| International Application. No. | International Filing Date | U.S. Application No. 10/530198 Unassigned |
| PCT/JP2003/012636 | October 2, 2003 | Priority Date Claimed October 10, 2002 |

Title of Invention: FINE SPHERICAL PARTICLES WITH SATISFACTORY MOLECULAR
ORIENTATION, SPHERICAL MICROCAPSULES COMPRISING THE SAME, AND PROCESSES
FOR PRODUCING THESE

Applicant(s) For EO/EO/US: Yoko MATSUZAWA, Mutsuyoshi MATSUMOTO, Masaki KOGISO,
and Toshimi SHIMIZU

Applicants herewith submit to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. § 371.
2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. § 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. § 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. § 371(b) and PCT Articles 22 and 39(I).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. § 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ was transmitted by the International Bureau.
6. ☒ A copy of the International Application as filed has been transmitted by the International Bureau.
7. ☒ A translation of the International Application into English (35 U.S.C. § 371(c)(2)).
8. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. § 371(c)(3)).
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
9. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. § 371(c)(3)).
10. ☒ An oath or declaration of the inventors (35 U.S.C. § 371(c)(4)).
11. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. § 371(c)(5)).

Adjustment Date: 08/10/2005 PROOKER
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- Items 11. to 14. below concern other information(s) or information included:
12. ☒ An Information Disclosure Statement under 37 C.F.R. § 1.97
 13. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. § 3.28 and § 3.31 is included.
 14. ☒ A FIRST preliminary amendment.
☐ A SECOND or SUBSEQUENT preliminary amendment.
 15. ☒ Other items or information:
 - a. PCT/RO/101
 - b. PCT/ISA/210 (International Search Report)
 - c. PCT/IB/304
 - d. WO 2004/031214 (Cover Page Only)

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U.S. APPLICATION NO. **10/530198** INTERNATIONAL APPLICATION NO. **PCT/JP2003/012636** ATTORNEY DOCKET NUMBER **040894-7204**

16. ☒ The following fees are submitted:
Basic National Fee (37 C.F.R. § 1.492(a)(1)-(5)):

NATIONAL STAGE BASIC FEE AMOUNT = \$ 300.00

Surcharge of \$130.00 for furnishing the oath or declaration later than
☐ 20 ☒ 30 months from the earliest claimed priority date
(37 C.F.R. § 1.492(e)).

| Claims | Number Filed | Number Extra | Rate | |
|--|--------------|--------------|------------|--------------------|
| Total Claims | 13 - 20 = | 0 | X \$50.00 | \$0.00 |
| Independent Claims | 2 - 3 = | 0 | X \$200.00 | \$0.00 |
| Multiple dependent claim(s) (if applicable) | | | + \$360.00 | \$0.00 |
| Application Size Fee - \$250.00 for each additional 50 sheets that exceeds 100 | | | | \$ |
| Search Fee | | | \$500.00 | \$ 500.00 |
| Examination Fee | | | \$200.00 | \$ 200.00 |
| TOTAL OF ABOVE CALCULATIONS | | | | \$1,000.00 |
| Reduction by ½ for filing by small entity, if applicable. | | | | |
| Verified Small Entity statement must also be filed. (Note 37 C.F.R. §§ 1.9, 1.27, 1.28) | | | | -\$ |
| SUBTOTAL = | | | | \$ 1,000.00 |
| Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. § 1.492(f)). | | | | +\$ |
| TOTAL NATIONAL FEE = | | | | \$ 1,000.00 |
| Fee for recording the enclosed assignment (37 C.F.R. § 1.21(h)). The Assignment must be accompanied by an appropriate cover sheet (37 C.F.R. §§ 3.28, 3.31). \$40.00 per property | | | | \$ 40.00 |
| TOTAL FEES ENCLOSED = | | | | \$ 1,040.00 |
| Amount to be refunded | | | | \$ |
| Amount to be charged | | | | \$ 1,040.00 |

- a. ☐ A check in the amount of \$_____ to cover the above fees is enclosed.
- b. ☒ Please charge my Deposit Account No. 50-0310 in the amount of **\$1,040.00** to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ Except for issue fees payable under 37 C.F.R. § 1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R. § 1.16 and § 1.17 which may be required, or credit any overpayment to Deposit Account No. 50-0310.

Date: April 4, 2005

Customer No. 09629

SEND ALL CORRESPONDENCE TO:

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Robert J. Goodell Reg. No. 41,040